



Section 2. Form PTO - 1449 (Modified)

1 of 5

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Modified) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

17377.290

SERIAL NO.

08/552366

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

HARTIG, ET AL.

FILING DATE

11/2/95

GROUP

1316

(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
J3	3 2 7 2 9 8 6	9/66	SCHMIDT	250	86	
J3	3 6 4 9 3 5 9	3/72	APFEL, ET AL.	117	33.3	
J3	3 6 8 2 5 2 8	8/72	APFEL, ET AL.	350	1	
J3	3 6 9 8 9 4 6	10/72	KASPAUL, ET AL.	427	255	
J3	3 8 4 6 1 5 2	11/74	FRANZ	117	33.3	
J3	3 8 8 9 0 2 6	6/75	GROTH	428	34	
J3	3 9 0 0 6 7 3	8/75	MATTIMOE, ET AL.	428	339	
J3	3 9 0 1 9 9 7	8/75	GROTH	428	428	
J3	3 9 6 2 4 8 8	6/76	GILLERY	427	109	
J3	3 9 7 8 2 7 3	8/76	GROTH	428	434	
J3	3 9 9 0 7 8 4	11/76	GELBER	350	166	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
J3	1 0 0 5 4 3 9	5/90	PCT				
J3	0 3 1 2 7 8	1/80	EPO				
J3	0 0 8 0 1 8 2	6/83	EPO				
J3	2 0 2 7 2 2 3	2/80	BRITISH				

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

J3	KNITTL, Z DEWEK	"OPTICS OF THIN FILMS", JOHN WILEY & SONS LTD., LONDON, 1976, P. 284
J3	AIRCO COATING TECHNOLOGY, SUPER-E III	1/91, P. 1-22 (REDACTED FORM - FULL FORM IN SEALED ENVELOPE ACCOMPANYING PETITION TO EXPUNGE), no publication date.
J3	ASTM E-308-85	"STANDARD METHOD FOR COMPUTING THE COLORS OF OBJECTS BY USING THE CIE SYSTEM", 2/2/85

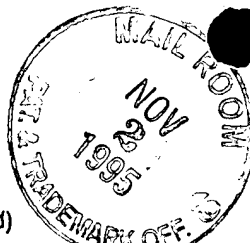
EXAMINER

JOHN J. ZIMMERMAN

DATE CONSIDERED

7/31/97

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
93	4 1 7 9 1 8 1	12/79	CHANG	350	1.7	
93	4 2 0 4 9 4 2	5/80	CHASHROUDI	204	298	
93	4 3 3 5 1 6 6	4/82	LIZARDO, ET AL.	428	34	
93	4 3 3 7 9 9 0	7/82	FAN, ET AL.	350	1.7	
93	4 3 5 6 0 7 3	10/82	McKELVEY	204	192R	
93	4 4 2 2 9 1 6	12/83	McKELVEY	204	192R	
93	4 4 8 8 7 7 5	12/84	YAMAMOTO	350	164	
93	4 5 5 6 2 7 7	12/85	FAN, ET AL.	350	1.7	
93	4 6 3 9 0 6 9	1/87	YATABE, ET AL.	350	1.7	
93	4 7 1 6 0 8 6	12/87	GILLERY, ET AL.	428	630	
93	4 7 8 0 3 7 2	10/88	TRACY, ET AL.	428	428	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

93	AIRCO COATING TECHNOLOGY, SUPER-E IV (1994?), p. 1-23, (REDACTED) FORM - FULL FORM IN SEALED ENVELOPE ACCOMPANYING PETITION TO EXAMINE (no publication date)
93	ASTM E424-71 "STANDARD TEST METHODS FOR SOLAR ENERGY TRANSMITTANCE AND REFLECTANCE (TERRESTRIAL) OF SHEET MATERIALS", 4/15/71
93	1991 PROPOSED ASTM STANDARD (PRIMARY GLASS MANUFACTURERS' COUNCIL) FOR MEASUREMENT OF INFRARED ENERGY (2.500 - 40,000 nm) TO CALCULATE EMITTANCE, 11/8/91

EXAMINER

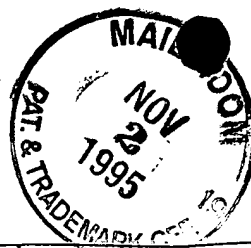
JOHN J. ZIMMERMAN

PRIMARY EXAMINER

DATE CONSIDERED

7/31/97

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Section 2. Form PTO - 1449 (Modified)

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Modified) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

12375.290

SERIAL NO.

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INFORMATION DISCLOSURE
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(37 CFR 1.98(b))

APPLICANT

HARTIG, ET AL.

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE if appropriate
J3.	4 7 8 6 7 8 4	11/88	NIKODEM, ET AL.	219	543	
J3.	4 7 9 9 7 4 5	1/89	MEYER, ET AL.	350	1.7	
J3.	4 7 9 9 7 4 5	1/92	(REEXAM. CERT.)	350	1.7	
J3.	4 9 4 3 4 8 4	7/90	GOODMAN	428	441	
J3.	5 2 2 9 1 9 4	7/93	Lingie, ET AL.	428	219	
J3.	5 2 9 6 3 0 2	3/94	O'SHANGHASSY, ET AL.	428	422	
J3.	5 3 0 2 4 4 9	4/94	EBY, ET AL.	428	336	
J3.	5 3 1 8 6 8 5	6/94	O'SHANGHASSY, ET AL.	204	192.27	
J3.	5 3 3 2 8 8 8	7/94	TAUSCH, ET AL.	219	547	
J3.	5 3 4 4 7 1 8	9/94	HARTIG, ET AL.	428	623	
J3.	4 4 6 2 8 8 3	7/84	HART	204	192C	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication...)

J3.	VIRACON, "SOLARSCREEN 2000 TM NEW PRODUCT BULLETIN (4 PAGES), ^{April} 1994.
J3.	VIRACON, "SOLARSCREEN 2,000 ON TINTED SUBSTRATES, TS-16-042, (1 PAGE), ^{April} 1994
J3.	WINDOW 4.1 LBL-35298 (MARCH 1994)

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7/3/97

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